

Review Article

Gut-Microbiota-Brain Axis and Its Effect on Neuropsychiatric Disorders With Suspected Immune Dysregulation

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ABSTRACT

Purpose: Gut microbiota regulate intestinal function and health. However, mounting evidence indicates that they can also influence the immune and nervous systems and vice versa. This article reviews the bidirectional relationship between the gut microbiota and the brain, termed the microbiota-gut-brain (MGB) axis, and discusses how it contributes to the pathogenesis of certain disorders that may involve brain inflammation.

Methods: Articles were identified with a search of Medline (starting in 1980) by using the key words *anxiety, attention-deficit hypersensitivity disorder (ADHD), autism, cytokines, depression, gut, hypothalamic-pituitary-adrenal (HPA) axis, inflammation, immune system, microbiota, nervous system, neurologic, neurotransmitters, neuroimmune conditions, psychiatric, and stress.*

Findings: Various afferent or efferent pathways are involved in the MGB axis. Antibiotics, environmental and infectious agents, intestinal neurotransmitters/neuromodulators, sensory vagal fibers, cytokines, and essential metabolites all convey information to the central nervous system about the intestinal state. Conversely, the hypothalamic-pituitary-adrenal axis, the central nervous system regulatory areas of satiety, and

neuropeptides released from sensory nerve fibers affect the gut microbiota composition directly or through nutrient availability. Such interactions seem to influence the pathogenesis of a number of disorders in which inflammation is implicated, such as mood disorder, autism-spectrum disorders, attention-deficit hypersensitivity disorder, multiple sclerosis, and obesity.

Implications: Recognition of the relationship between the MGB axis and the neuroimmune systems provides a novel approach for better understanding and management of these disorders. Appropriate preventive measures early in life or corrective measures such as use of psychobiotics, fecal microbiota transplantation, and flavonoids are discussed. (*Clin Ther.* 2015;37:984-995) © 2015 Elsevier HS Journals, Inc. All rights reserved.

Key words: cytokines, gut, immune disorders, MGB axis, microbiota, nervous system diseases.

INTRODUCTION

Humans have up to 37% gene homology with bacteria and Archaea.¹ Vast numbers of commensal micro-organisms reside on both the external and the internal surfaces of our bodies, especially the gut, outnumbering human somatic cells by ~10:1.² Our

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Table I. Beneficial functions of gut microbiota.

- Defense against pathogen colonization by nutrient competition and production of antimicrobial substances
- Fortification of intestinal epithelial barrier and induction of secretory immunoglobulin A synthesis to limit pathogenic bacteria penetration into tissues
- Facilitation of nutrient absorption by metabolizing indigestible dietary compounds
- Participation in the maturation and functionality of the host immune system by providing diverse signals for “tuning” the host immune status

colonization starts at birth during vaginal delivery with a maternal signature followed by complex “adult” microbiota after the first year of age.^{3,4} As a result, the human body is considered a super-complex ecosystem, a social network with the gut microbiota having formed a permanent symbiotic relationship rather than a temporary form of parasitism.⁵ Normally, the gastrointestinal (GI) microbiota has a symbiotic relationship with our enteric cells and contributes to basic physiological processes, including digestion, growth, and self-defense (Table I).

The present article reviews the bidirectional relationship between the gut microbiota and the brain, termed the microbiota-gut-brain (MGB) axis, and discusses how it contributes to the pathogenesis of certain disorders, that may involve brain inflammation.

MATERIALS AND METHODS

Articles were identified with a search of Medline (starting in 1980) by using the key words *anxiety, attention-deficit hypersensitivity disorder (ADHD), autism, cytokines, depression, gut, hypothalamic-pituitary-adrenal (HPA) axis, inflammation, immune system, microbiota, nervous system, neurologic, neurotransmitters, neuroimmune conditions, psychiatric, and stress.*

RESULTS

An individual’s gut microbiota composition depends on the mode of delivery at birth, genetic predisposition, age, nutrition, physical activity, environmental factors, stress, infections, other diseases, and use of antibiotics. Brain function and psychological makeup are now increasingly considered to have a reciprocal relationship with the gut.⁶ Disruption of the gut microbiota (dysbiosis) balance is known to contribute to, among others, the pathogenesis of GI diseases, particularly inflammatory bowel disorder (IBD)⁷ and irritable bowel syndrome (IBS),⁸ especially because the gut

microbiome regulates immunity.^{9–13} In fact, bacteria reportedly directly induce inflammation and pain.¹⁴

Accumulating evidence suggests that the gut microbiota maintain bidirectional interactions with critical parts of the central nervous system (CNS) and the immune system through direct and indirect pathways (Table II, Figure). These involve the endocrine (HPA axis), immune (chemokines, cytokines), autonomic nervous, and enteric nervous systems forming the MGB axis.⁶

Neuro/immune-active substances derived from the intestinal lumen can penetrate the gut mucosa, be transported by blood, cross the blood-brain barrier (BBB), and affect the CNS.¹⁵ Gut microbiota can influence CNS function through their ability to synthesize or mimic a range of host-signaling neuroactive molecules, such as acetylcholine, catecholamines, γ -aminobutyric acid, histamine, melatonin, and 5-hydroxytryptamine (5-HT [serotonin]).¹⁶ 5-HT is crucial in the regulation of peristalsis or modulation of sensation.¹⁷

Conversely, the composition of gut microbiota is influenced by emotional and physiologic stress.¹⁸ One study found that healthy students during an extremely stressful time had fewer *Lactobacilli* present in their stool compared with less stressful periods.¹⁹ Maternal separation stress between 6 and 9 months of age in rhesus monkeys resulted in decreased fecal *Lactobacilli* levels.²⁰ Exposure to chronic stress in adult mice decreased the relative abundance of *Bacteroides* species and increased the *Clostridium* species in the cecum; moreover, it caused activation of the immune system as documented by increased interleukin-6 and C-C chemokine ligand 2 production.²¹ Acute stress increased GI^{22,23} and BBB²⁴ permeability through activation of mast cells (MCs), which express high-affinity receptors for corticotropin-releasing hormone (CRH).²⁵ Moreover, chronic stress disrupted the intestinal barrier through MC activation and permitted penetration of luminal antigens, microflora

Table II. Pathways involved in bidirectional communication between gut microbiota, the brain, and the immune system.

Pathway	Effect
Afferent arm	
Change of the gut microbiota due to usage of antibiotics/infectious agents/probiotic bacteria	Alteration in the circulating levels of pro/anti-inflammatory cytokines that affect brain function
Modulation of various host metabolic reactions	Production of essential metabolites (eg, bile acids, choline, short-chain fatty acids)
Generation of neurotransmitters or neuromodulators in the intestinal lumen	Induction of epithelial cell release of molecules that stimulate afferent axons
Changes in tryptophan metabolism	Effects on behavior
Activation of sensory vagal fibers	Conveyance of information about the state of intestine to the CNS
Efferent arm	
HPA axis activation	Regulation of immune cells locally in the gut and systematically affecting gut permeability, motility, secretion, barrier function, and gut microbiota composition
Anti-inflammatory cholinergic reflex and/or sympathetic activation	Release of neurotransmitters that may affect gut microbiota composition, intestinal permeability, and local immunity
Activation of CNS regulatory areas of satiety	Impact on nutrient availability to intestinal microbiota and their composition

CNS = central nervous system; HPA = hypothalamic-pituitary-adrenal.

metabolites, toxins, and lipopolysaccharide into the systemic circulation and the CNS.²⁶ In fact, stress-induced MC activation has been implicated in functional GI diseases.²⁷ Maternal separation stress in mice also increased intestinal MC–neuron communication.²⁸

MCs communicate with pathogens²⁹ and have been invoked as key modulatory cells in innate immunity,³⁰ as well as in inflammation^{31–34} and autoimmunity.³⁵ A new finding concerning MCs is their ability to extracellularly secrete mitochondrial components, including DNA.³⁶ These components are then misconstrued by the body as “innate pathogens” and induce a strong auto-inflammatory response, leading to inflammation and neuronal damage.³⁷ The microbiota can modulate the immune system through other mechanisms.³⁸ In addition, the increased use of antibiotics results in depletion of microbiota-derived metabolites, impairs immune homeostasis, and contributes to chronic inflammation.³⁹

Mood Disorders

Genes involved in synapse formation between neurons in the brain and neurons in the GI tract are similar, and any mutation could possibly lead to both brain and GI abnormalities.⁴⁰ Recent studies analyzing the human genome in brains from diseased subjects with psychiatric disorders reported only 2 clusters of affected genes with: (1) increased inflammation; and (2) decreased mitochondrial function.⁴¹ Depression is associated with increased inflammatory biomarkers, such as interleukin-6, tumor necrosis factor- α , and C-reactive protein.⁴² Schizophrenia has been linked to intestinal inflammation⁴³ and gastrojejunal ulcers.⁴⁴

When ingested, “psychobiotics,” which are live organisms, may produce health benefits in patients experiencing mood disorders.⁴⁵ In a study of 124 healthy volunteers (mean age, 61.8 years), those who consumed a mix of specific psychobiotics (*Lactobacillus helveticus*

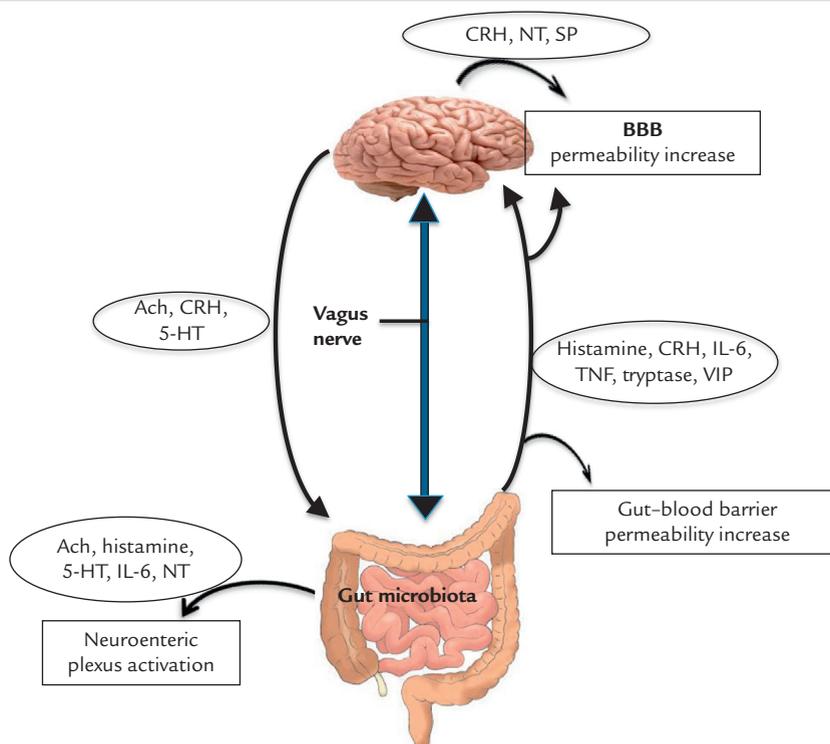


Figure. Diagrammatic representation of the microbiota-gut-brain (MGB) axis highlighting the proposed bidirectional communications. Gut microbiota can release molecules that may activate the neuroenteric plexus and stimulate brain production of neuropeptides, as well as increase gut-blood barrier and blood-brain barrier (BBB) permeability. The brain releases molecules that stimulate the neuroenteric plexus and gut function. The vagus nerve sends orthodromic and antidromic. CRH = corticotropin-releasing hormone; NT = neurotensin; SP = substance P; Ach = acetylcholine; IL-6 = interleukin 6; TNF = tumor necrosis factor; VIP = vasoactive intestinal peptide; 5-HT = 5-hydroxytryptamine.

and *Bifidobacterium longum*) exhibited less anxiety and depression.¹⁹ Symptoms of “depression” were reported to decrease after probiotic treatment in the rat.⁴⁶ Additional studies showed beneficial effects of probiotics in animal models with altered behavioral phenotypes, as they reduced vagal-dependent activation of γ -aminobutyric acid receptors in response to physical and psychological stress.^{46–51}

Studies in animals found that certain bacterial species could reduce mood changes. For instance, when *Citrobacter rodentium* was administered orally to CF-1 mice, there was an increase in anxious-like behavior 7 to 8 hours after the infection, through activation of vagal pathways.⁵² Postnatal colonization of germ-free mice by orally feeding them different probiotics programmed the HPA for a stress response; for instance, when *Campylobacter jejuni*

was given orally, it increased anxious-like behavior 7 hours after the infection.⁵³ Furthermore, a corresponding increase in brain-derived neurotrophic factor in the hippocampus and amygdala was evident and was eliminated after administration of antibiotic therapy in the mice. Of note, brain-derived neurotrophic factor is involved in the pathology of depression⁵⁴ and autism spectrum disorders (ASDs),⁵⁵ and it is also considered a biomarker for gastric hyper-sensitivity.⁵⁶

Attention-Deficit Hypersensitivity Disorder and ASDs

ADHD is a neurodevelopmental disorder characterized by lack of attention, impulsiveness, and hyperactivity. Its cause is considered multifactorial, involving genetic predisposition, somatic mutations,

epigenetic changes, and perinatal factors (eg, low birth weight, prematurity, prenatal exposure to alcohol and/or smoke), as well as environmental and socioeconomic factors.⁵⁷

Increasing evidence from clinical and epidemiologic studies suggests that children and adults with food allergies, eczema, or asthma have associated behavioral problems and neuropsychiatric disorders, including ADHD.^{58–63} The gut microbiota are known to participate in susceptibility to allergies,^{64,65} especially food allergens.⁶⁶ One meta-analysis reported that the Kaiser-Permanente diet using elimination of salicylates, artificial food colors and flavors, and the preservative butylated hydroxytoluene could decrease the hyperactivity of children with ADHD.⁵⁷ Children with ADHD substantially improved on either a diet free of artificial food colors⁶⁷ or by dietary supplementations with polyunsaturated fatty acids, iron, and zinc.⁶⁸ In fact, levels of these fatty acids in the plasma of ADHD children are reportedly low.⁶⁹ Food-based treatments in children with allergic disorders have been shown to significantly reduce ADHD-like behavior.⁷⁰

ASDs are neurodevelopmental disorders characterized by deficits in social interactions and communication, along with repetitive and stereotyped behaviors.⁷¹ Many children with ASDs present with GI symptoms^{72–74} and altered GI flora.⁷¹ Increasing evidence indicates that ASD pathogenesis may involve brain inflammation,⁷⁵ especially activation of microglia.^{76,77} Moreover, ~30% of children with ASDs have autoantibodies against brain proteins,⁷⁸ and the presence of such antibodies has been strongly correlated with allergic symptoms.⁷⁹

We recently showed that levels of the neuropeptide neurotensin, found both in the brain and the gut and CRH, were increased in the serum of children with ASDs; moreover, neuropeptide neurotensin was significantly correlated with the presence of GI symptoms.⁸⁰ We also reported elevated levels of mitochondrial DNA in the serum of children with ASDs,⁸¹ and CRH has been shown to augment the stimulatory effect of mitochondrial DNA on MCs.⁸² A recent article reported increased amounts of mitochondrial DNA in peripheral mononuclear cells from patients with ASDs.⁸³ Extracellular mitochondrial DNA could derive either from MCs, peripheral mononuclear cells, intestinal cells, or bacteria and is misconstrued as “innate pathogens,” which lead to autoinflammatory reactions.⁸⁴

Approximately 30% of children with ASDs are characterized by hyperserotonemia,⁸⁵ and a serotonin reuptake transporter gene mutation (*SERT Ala56*) was identified in some ASD children with hyperserotonemia.⁸⁶ Introduction of this mutation in mice resulted in communication delays and repetitive behaviors similar to those in children with ASDs. In fact, 5-HT can affect the immune system,²⁸ and autoimmune neuroinflammation was treated with a tryptophan metabolite.⁸⁷ The *SERT Ala56* mice were also constipated and had bacterial intestinal overgrowth similar to what is often seen in children with ASDs.⁸⁸

Increased intestinal permeability would permit bacterial products, cytokines, and chemokines to enter the circulation and cross the BBB,⁸⁹ influencing brain and behavior. For example, children with ASDs had higher levels of immunoglobulins (A, G, and M) against cow's milk-derived allergens, and milk intake by these patients significantly worsened some of their behavioral symptoms.⁷⁰ Elimination of casomorphin, gliadorphin, colorings, sweeteners, and preservatives led to significant benefit. The gut microbiota composition appears to differ between healthy children and those with ASDs.⁷¹ For example, there was a higher prevalence of *Bifidobacteria* in healthy control subjects compared with patients with ASDs.⁹⁰ In contrast, *Bacteroides vulgatus* and *Desulfovibrio* species were more commonly found in stools of children with ASDs; however, only *Desulfovibrio desulfuricans*, *Desulfovibrio fairfieldensis*, and *Desulfovibrio piger* were associated with regressive ASD. *Clostridium* species were increased at the expense of *Bifidobacterium* in ASD children with food allergies and pediatric IBD compared with sex-matched control children.⁹¹ Children with ASD treated with oral vancomycin had significant improvements in behavioral, cognitive, and GI symptoms.⁹² (Similar findings are discussed in detail in another article (Bouie, in press) in this issue of *Clinical Therapeutics*.) Such findings have led to the gut-brain connections being proposed as targeted treatment of ASDs.⁹³

Multiple Sclerosis and Neuromyelitis Optica

Multiple sclerosis is an autoimmune disease characterized by progressive demyelination and deterioration of neurologic function.^{94,95} It has been suggested that gut microbiota may contribute to the pathogenesis of this disease.⁹⁶ One study showed that germ-free mice had delayed induction of experimental autoimmune encephalomyelitis (EAE), probably

due to the attenuation of Th17 and autoreactive B-cell responses. In another study, mice genetically predisposed to develop EAE spontaneously did not develop EAE when housed under germ-free conditions; however, this outcome was reversed upon colonization with conventional microbiota in adulthood. Even the presence of commensal microbiota promoted the induction of EAE in germ-free B6 mice due to decreased interferon- γ and interleukin-17 responses.⁹⁷ A high-fat diet was found to increase the severity of EAE in mice, whereas caloric restriction attenuated symptoms of EAE.⁹⁸

Patients with neuromyelitis optica (NMO) have aquaporin (AQP) autoantibodies (AQP4-seropositive) against the optic nerve and spinal cord but also more antibodies against GI antigens than healthy control subjects.⁹⁹ Specifically, 37% of these patients had increased levels of antibodies against at least 1 of the following: gliadin, tissue transglutaminase, intrinsic factor, parietal cells, and *Saccharomyces cerevisiae* compared with 8% of healthy control subjects; anti-gliadin and anti-*S cerevisiae* antibodies were the most frequent in AQP4-seropositive NMO ($P = 0.01$ and $P < 0.05$, respectively). In addition, the AQP4-specific T cells in patients with NMO exhibited cross-reactivity to a protein of *Clostridium perfringens*, supporting a microbiota-related molecular mimicry process in NMO pathogenesis.¹⁰⁰ Multiple sclerosis¹⁰¹ and EAE¹⁰² are precipitated or worsened with stress, which is known to also affect the gut.¹⁰³ In fact, stress-induced gut alterations can impact the brain and behavior.¹⁰⁴

Obesity

Obesity has been called a psychiatric disease¹⁰⁵ and is associated with depression¹⁰⁶ and other neuropsychiatric disorders.⁴³ Adipocytokines can influence both the brain and the gut,¹⁰⁵ and recent evidence suggests that gut microbiota influence energy balance and weight.⁶⁸ Increased energy harvesting from diet, regulation of biologically active fatty acid tissue composition, chronic low-grade endotoxemia, and modulation of gut-derived peptide secretion are some of the proposed routes that link gut microbiota with obesity.¹⁰⁷

Gut microbiota may also contribute to low-grade inflammation in obesity.¹⁰⁸ Increased fat intake has been associated with increased serum levels of lipopolysaccharide in healthy humans¹⁰⁹ and in mice.¹¹⁰ This endotoxin can potentially trigger Toll-like receptors

in adipose or on pancreatic β cells, contributing to both insulin resistance and β -cell damage.^{111,112} Experimental endotoxemia induced adipose inflammation and insulin resistance in lean human subjects.¹¹³ Modulation of gut microbiota by using probiotics in obese mice was found to decrease high-fat diet-induced lipopolysaccharide endotoxemia, as well as systemic and liver inflammation.^{110,111}

Many studies have produced contradictory results regarding the types of bacteria that predominate in obese subjects compared with lean subjects.^{114–116} For instance, metabolically obese mice with mutated leptin gene had different microbiota than mice without the mutation.¹¹⁴ The same researchers later reported altered gut microbiota composition (reduction of *Bacteroidetes* and increase of *Firmicutes* phyla) in obese human subjects compared with lean human subjects.¹¹⁷ In contrast, other authors reported a higher proportion of *Bacteroidetes* in overweight and obese subjects.¹¹⁸ These conflicting results may be due to the variable methods of analysis and to the different profile of subjects.

Gut microbiota can convert undigested carbohydrates into short-chain fatty acids, such as acetate, propionate, and butyrate. These fatty acids are able to bind and activate 2 G-protein-coupled receptors (GPR41 and GPR43) on gut epithelial cells, leading to secretion of peptide YY, which suppresses gut motility and retards intestinal transit.¹⁰⁷ An interesting finding is that propionate could induce an autistic-like phenotype in rats.¹¹⁹ Modulation of gut microbiota may have therapeutic potential in the management of metabolic disorders.¹²⁰

Treatment and Future Directions

Therapeutic modulation of gut microbiota, possibly by the use of prebiotics and probiotics, may be helpful in disorders involving disturbances of the MGB axis.¹²¹ Prebiotics can benefit both intestinal mucosa and systemic immunity because they reach the large intestine nonhydrolyzed and stimulate the growth of beneficial intestinal microbiota.¹²² Probiotics could restore intestinal permeability by improving the function of the mucosal barrier.¹²³ Administration of different probiotics has been reported to be beneficial in humans with abdominal pain^{124,125} and increased the pain threshold in rats.¹²⁶ *Lactobacillus acidophilus* induced the expression of the cannabinoid 2 and μ -opioid 1 receptors in the colonic epithelium,¹²⁷ whereas *Lactobacillus farciminis* inhibited stress-induced

visceral hypersensitivity.¹²⁸ However, use of probiotics may result in both beneficial and detrimental effects. For example, there were beneficial effects in IBS with the use of probiotics *Bifidobacterium infantis* 35624^{129,130} and *Bifidobacterium lactis* and *B animalis* DN173010¹³¹ and of probiotic mixtures, such as *Escherichia coli* (DSM 17252) and *Enterococcus faecalis* (DSM 16440)¹³² or *Lactobacillus rhamnosus* GG, *L rhamnosus* LC705, *Bifidobacterium breve* Bb99, and *Propionibacterium freudenreichii* species *shermanii* JS.^{133,134} On the contrary, use of other probiotic mixtures, such as *Lactobacillus paracasei* species *Paracasei* F19, *L acidophilus* La5, and *Bifidobacterium lactis* Bb12^{135,136} or *Lactobacillus plantarum* MF 1298,¹³⁷ had negative effects in IBS. Nonabsorbable antibiotics (eg, oral rifaximin) were shown to be beneficial in IBS.¹³⁸

Natural flavonoids may be useful because they have immunoregulatory actions.¹³⁹ For instance, the quercetin glycoside rutin is cleaved by gut bacteria to liberate quercetin, which has anti-inflammatory actions.¹⁴⁰ Quercetin, luteolin, and tetramethoxyluteolin are potent inhibitors of MCs.¹⁴¹

Fecal microbiota transplantation from a healthy donor can re-establish intestinal flora balance and could be used for specific GI diseases,¹⁴² especially the treatment of *Clostridium difficile* infection,¹⁴³ and it may possibly be efficacious in IBD.¹⁴⁴

CONCLUSIONS

Gut microbiota were considered decremental to health and the focus had been on pathogenic species. Increasing evidence indicates that a delicate balance of gut microorganisms is necessary for health, disruption of which is associated especially with neuropsychiatric disorders. The gut-microbiota-brain axis involves active by-directional flow of information, which comprises of immune and neuroregulatory molecules, constitutes an exciting new field. In spite of numerous publications, proper gut microbiota balance cannot be achieved simply by introduction of probiotics requiring new treatment approaches.

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CONFLICTS OF INTEREST

Dr. Theoharides is the inventor of US patents No. 6,624,148; 6,689,748; 6,984,667, and EPO 1365777, which cover methods and compositions of MC blockers, including flavonoids, as well as US patents No. 7,906,153 and 8,268,365 for the treatment of brain inflammation. 13/009.282 for the diagnosis and treatment of ASDs. The authors have indicated that they have no other conflicts of interest regarding the content of this article.

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